

01-08-02

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SD-6858/96535
October 24, 2001

Express Mail No.: EL026586835US

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL
Under 37 CFR 1.53(b)

Transmitted herewith for filing is the patent application of

INVENTOR(S): Robert C. Moore and D. Richard Anderson

FOR: Arsenic Removal From Water

I. APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
2. ☒ Specification
Total Pages 27
3. ☒ Drawings
Total Pages 1
4. ☒ Oath or Declaration
 - a. ☒ Newly Executed
 - b. ☐ Copy from Prior Application
(Continuation or Divisional)
- ☐ Deletion of Inventors
Signed Statement Attached Deleting Inventor(s) named in prior Application. 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (if Box 4b is checked). The entire disclosure of the prior application(s) from which a copy of the Oath or Declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

II. ACOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet and document(s))
8. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney
9. ☒ Information Disclosure Statement
Copies of IDS
(IDS)/PTO-1449
Citations
10. ☐ Preliminary Amendment
11. ☒ Return Receipt Postcard (Itemized)
12. ☒ Other: Nonpublication Request

III IF A CONTINUING APPLICATION

- ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
of prior application No. ___/_____

IV. CORRESPONDENCE ADDRESS

Timothy D. Stanley
Sandia National Laboratories
P. O. Box 5800 - MS-0161
Albuquerque, New Mexico 87185-0161

Phone: (505) 845-8124
FAX: (505) 844-1418

V. FEE PAYMENT BEING MADE AT THIS TIME

- ☐ Not Enclosed
☐ No filing fee is to be paid at this time (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)
- ☒ Enclosed
☒ Basic Filing Fee \$740.00
- ☐ Recording Assignment
(\$40.00; 37 CFR 1.21(h))
- ☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))
- ☐ Processing and Retention Fee
(\$130.00; 37 CFR 1.53(d) and 1.21(I))

<input checked="" type="checkbox"/> Fee for additional claims added by preliminary amendment	\$606.00
Total Fees Enclosed	\$1346.00

VI. METHOD OF PAYMENT OF FEES

- ☐ Check in the amount of \$ _____
☒ Charge Deposit Account No. 19-0131 in the amount of \$1346.00.
A duplicate of this transmittal is attached.

VII. INSTRUCTIONS AS TO OVERPAYMENT OR UNDERPAYMENT

- ☒ Credit Account No. 19-0131
☒ Charge Account No. 19-0131

Dated:

10/25/01

Robert D. Watson

Robert D. Watson, Reg. No. 45,604
Sandia National Laboratories
Patent & Licensing Center, 11500
P. O. Box 5800 / MS 0161
Albuquerque, NM 87185-0161
Telephone: (505) 845-3139

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the 25th day of October, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL026586835US, addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Viola Campos 10/25/01
Viola Campos

FEE TRANSMITTAL FOR FY 2001		Complete if Known	
		Application Number	
<i>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>		Filing Date	10/25/01
		First Named Inventor	Robert C. Moore
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$) 1346.00	Attorney Docket No.	SD6858

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																											
<p>The Commissioner is hereby authorized to charge</p> <p>1. <input checked="" type="checkbox"/> Indicated fees and credit any over payments to:</p> <p>Deposit Account Number 19-0131</p> <p>Deposit Account Name Sandia National Labs</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840</td><td>11</td><td>1,840</td><td>Requesting publication of SIR after Examiner</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Robert D. Watson			Reg. Number	45,604
Signature	<i>Robert D. Watson</i>	Date	10/25/01	Deposit Account User ID	

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Robert C. Moore
	Title	Arsenic Removal From Water	
	Atty Docket Number		SD-6858

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/25/01

Date

Robert D. Watson

Signature

Robert D. Watson

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Application may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**